



Atty. Dkt. No. 050851-0101

*Drw*  
*CH*

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Robert B. PHELPS  
Title: METHOD OF ENHANCING  
VALUE OF PENSION PLAN  
ASSETS  
Appl. No.: 10/636,125  
Filing Date: 08/07/2003  
Examiner: Vanel Frenel  
Art Unit: 3687  
Confirmation 1568  
Number:

**AMENDMENT TRANSMITTAL**

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Transmitted herewith is an amendment in the above-identified application.

☒ Small Entity status under 37 C.F.R. § 1.9 and § 1.27 has been established by a previous  
assertion of Small Entity status.

☐ Assertion of Small Entity status is enclosed.

☒ The fee required for additional claims is calculated below:

Claims					
As	Previously	Extra		Additional	
Amended	Paid For	Claims	Rate	Claims Fee	

Present									
Total Claims:	9	-	21	=	0	x	\$50.00	=	\$0.00
Independent Claims:	1	-	3	=	0	x	\$210.00	=	\$0.00
First presentation of any Multiple Dependent Claims:						+	\$370.00	=	\$0.00
CLAIMS FEE TOTAL								=	\$0.00

☒ Applicant hereby petitions for an extension of time under 37 C.F.R. §1.136(a) for the total number of months checked below:

<input type="checkbox"/> Extension for response filed within the first month:	\$120.00	\$0.00
<input type="checkbox"/> Extension for response filed within the second month:	\$460.00	\$0.00
<input checked="" type="checkbox"/> Extension for response filed within the third month:	\$1,050.00	\$1,050.00
<input type="checkbox"/> Extension for response filed within the fourth month:	\$1,640.00	\$0.00
<input type="checkbox"/> Extension for response filed within the fifth month:	\$2,230.00	\$0.00
EXTENSION FEE TOTAL:		\$1,050.00
<input type="checkbox"/> Statutory Disclaimer Fee under 37 C.F.R. 1.20(d):	\$130.00	\$0.00
CLAIMS, EXTENSION AND DISCLAIMER FEE TOTAL:		\$1,050.00
<input checked="" type="checkbox"/> Small Entity Fees Apply (subtract ½ of above):		\$525.00
Extension Fees Previously Paid:		\$0.00
TOTAL FEE:		\$525.00

A credit card payment form in the amount of \$525.00 is enclosed.

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 C.F.R. §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 19-0741. Should no proper payment be enclosed herewith, as by the credit card payment form being unsigned, providing incorrect information resulting in a rejected credit card transaction, or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 19-0741.

If any extensions of time are needed for timely acceptance of papers submitted herewith, applicant hereby petitions for such extension under 37 C.F.R. §1.136 and authorizes payment of any such extensions fees to Deposit Account No. 19-0741.

Please direct all correspondence to the undersigned attorney or agent at the address indicated below.

Respectfully submitted,

Date 9/18/08

By 

FOLEY & LARDNER LLP  
Customer Number: 22428  
Telephone: (202) 672-5485  
Facsimile: (202) 672-5399

William T. Ellis  
Attorney for Applicant  
Registration No. 26,874



Atty. Dkt. No. 050851-0101

***IN THE UNITED STATES PATENT AND TRADEMARK OFFICE***

Applicant: Robert B. PHELPS  
Title: METHOD OF ENHANCING VALUE  
OF PENSION PLAN ASSETS  
Appl. No.: 10/636,125  
Filing Date: 8/7/2003  
Examiner: Vanel Frenel  
Art Unit: 3687  
Confirmation Number: 1568

**AMENDMENT UNDER 37 CFR 1.111**

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

This communication is responsive to the Non-Final Office Action dated April 8, 2008, concerning the above-referenced patent application.

**Amendments to the Claims** are reflected in the listing of claims which begins on page 2 of this document.

**Remarks/Arguments** begin on page 5 of this document.

Please amend the application as follows:

09/19/2008 JADD01 00000007 10636125  
01 FC:PP53 525.00 0P